PULSE FASTTRACK DIAGNOSTIC

Illustrative Sample Findings Package

A concrete example of the complete $2,500 FastTrack output: findings, applicable-framework view, prioritized remediation, and an executive decision path.

COMPLETE	CROSS-FRAMEWORK	PRIORITIZED	DECISION-READY
Every supported finding	Applicable obligations	Remediation path	Fixed next step

ILLUSTRATIVE CLIENT SCENARIO

ORGANIZATION	Northstar Cloud Systems (fictional SaaS provider)
FORCING EVENT	Enterprise customer requires SOC 2 readiness evidence before contract renewal in 60 days.
EXISTING INVESTMENT	Compliance platform, readiness advisor, policy library, auditor relationship, ticketing and evidence repository.
FASTTRACK QUESTION	What is still unsupported, what must be closed first, and is the organization ready to move into bounded execution evidence testing?

WHAT THIS SAMPLE SHOWS

- Executive summary with the current readiness conclusion and the fixed decision path.
- Complete findings register with source basis, conclusion boundaries, and priority.
- Applicable-framework crosswalk so one intake is not sold as separate mapping projects.
- Prioritized remediation plan that converts findings into owned, actionable closure work.
- Executive decision package showing whether to close first or proceed to the next evidence stage.

Important: This sample demonstrates FastTrack output format only. The paid FastTrack is a readiness and findings diagnostic. Event-level execution testing is scoped only after the operating standard and evidence are ready.

ILLUSTRATIVE SAMPLE

EXECUTIVE SUMMARY

Readiness conclusion: closure required before execution evidence testing.

The existing SOC 2 readiness work is useful and should be retained. FastTrack identifies where the current policies, controls, and evidence still do not support the same operating conclusion.

APPLICABLE DOMAINS	FINDINGS	CANNOT ESTABLISH	PRIORITY CLOSURE
8	6	2	3
SOC 2 control domains assessed	Supported gaps requiring action	Evidence insufficient for conclusion	Items blocking next evidence stage

EXECUTIVE VERDICT

CLOSURE REQUIRED

The organization should not buy another readiness platform or restart its program. It should close three evidence and operating-standard gaps, preserve the existing stack, and then scope the bounded execution-evidence baseline.

TOP FINDINGS

ID	STATUS	SUMMARY	PRIORITY
F-001	FINDING	Quarterly privileged-access review is defined, but evidence does not demonstrate full population coverage.	HIGH
F-002	CANNOT ESTABLISH	Emergency change approvals cannot be established from the evidence currently retained.	HIGH
F-003	FINDING	Security-awareness completion evidence does not reconcile to the active workforce population.	MED
F-004	APPLICABLE	Vendor review and approval evidence supports the sampled onboarding process.	LOW
F-005	CANNOT ESTABLISH	Incident-response tabletop execution cannot be established for the current review period.	HIGH
F-006	FINDING	Control ownership is documented, but two remediation items lack an accountable due date and closure evidence.	MED

FINDINGS REGISTER

Source-linked findings, with conclusion boundaries.

The register shows what the assessment can support from accepted intake, what it cannot yet establish, and the smallest action needed to close the exposure.

F-001 FINDING HIGH	**Privileged access review evidence does not demonstrate complete population coverage.** **Framework view:** SOC 2 CC6.2 / CC6.3 **Source basis:** Access Control Policy v3.2; Q2 access-review export; HR active-user roster; privileged-role listing. **Assessment basis:** Policy requires quarterly review. The provided review file contains 46 reviewed accounts, while the privileged-role source contains 51 in-scope accounts. Five accounts have no documented disposition. **Remediation:** Reconcile the population to the source system, document reviewer disposition for all in-scope accounts, and retain approval evidence.

F-002 CANNOT ESTABLISH HIGH	**Emergency change approval cannot be established for the sampled period.** **Framework view:** SOC 2 CC8.1 **Source basis:** Change Management SOP v2.4; change-ticket export; emergency-change queue; approval workflow configuration. **Assessment basis:** The SOP requires retrospective approval for emergency changes. The ticket extract identifies emergency changes, but the retained evidence does not reliably link each change to the required approver and approval timestamp. **Remediation:** Update the evidence requirement so emergency-change ID, approver, timestamp, and closure record are retained in one traceable record.

F-003 FINDING MEDIUM	**Security-awareness evidence does not reconcile to the active workforce population.** **Framework view:** SOC 2 CC2.2 / CC2.3 **Source basis:** Training platform export; HR roster; contractor roster; onboarding checklist. **Assessment basis:** Training completion is documented for employees, but the evidence package does not establish whether in-scope contractors were included in the required population. **Remediation:** Define the covered population, reconcile the training export to HR/contractor sources, and retain exceptions with ownership and due dates.

F-004 APPLICABLE LOW	**Vendor onboarding evidence supports the sampled approval process.** **Framework view:** SOC 2 CC9.2 **Source basis:** Vendor Risk Policy v1.8; vendor register; completed due-diligence records; approval tickets. **Assessment basis:** The sampled vendor records contain risk classification, due diligence, approval, and ownership evidence consistent with the documented process. **Remediation:** No immediate closure action from this finding. Continue the same evidence standard and re-test on the next review cycle.

F-005 CANNOT ESTABLISH HIGH	**Incident-response tabletop execution cannot be established for the review period.** **Framework view:** SOC 2 CC7.3 / CC7.4 **Source basis:** Incident Response Plan v3.0; calendar records; meeting notes; action tracker. **Assessment basis:** The plan requires periodic testing, but the accepted intake does not include a completed exercise record that demonstrates scope, participants, scenarios, decisions, and resulting actions. **Remediation:** Run or document the required exercise and retain a single evidence package with participants, scenario, decisions, owners, and closure status.

F-006 FINDING MEDIUM	**Two remediation items lack accountable closure evidence.** **Framework view:** SOC 2 CC4.1 / CC4.2 **Source basis:** Risk register; remediation tracker; policy exception log. **Assessment basis:** Items have owners but no committed due date and no defined evidence required to demonstrate closure. The current record therefore cannot support an executive closure decision. **Remediation:** Add due dates, required closure evidence, approver, and acceptance status to each open remediation item.

ILLUSTRATIVE SAMPLE

APPLICABLE FRAMEWORK VIEW

One accepted intake, one consolidated view.

FastTrack identifies supported obligations that reach the organization and shows where the same evidence can support more than one framework. It does not sell each mapping as a separate diagnostic.

ID	SOC 2	ISO 27001	EVIDENCE THEME	STATUS
F-001	CC6.2, CC6.3	A.5.15, A.5.18	Privileged access review	**Finding**
F-002	CC8.1	A.8.32	Change approval evidence	**Cannot establish**
F-003	CC2.2, CC2.3	A.6.3	Security awareness population	**Finding**
F-004	CC9.2	A.5.19, A.5.22	Supplier due diligence	**Applicable**
F-005	CC7.3, CC7.4	A.5.24, A.5.27	Incident-response testing	**Cannot establish**
F-006	CC4.1, CC4.2	A.5.36	Remediation ownership/closure	**Finding**

PRIORITIZED REMEDIATION PLAN

PRIORITY	FINDINGS	CLOSURE ACTION	OWNER	READY WHEN
P1	**F-001, F-002**	Reconcile privileged-access population and establish a traceable emergency-change approval record.	Security + IT Ops	Source-to-evidence linkage is complete and approved.
P1	**F-005**	Complete and retain an incident-response exercise package with decisions and owned follow-up.	Security	Exercise evidence supports the required review period.
P2	**F-003**	Reconcile required training population across employees and in-scope contractors.	People + Security	Population and exceptions are documented.
P2	**F-006**	Add due dates, closure evidence, approver, and acceptance status to open remediation.	GRC owner	Executive closure can be demonstrated.

ILLUSTRATIVE SAMPLE

EXECUTIVE DECISION PACKAGE

What the approver can decide from the FastTrack.

The final output condenses the findings into a bounded business decision instead of leaving the buyer with another dashboard or open-ended consulting backlog.

DECISION 1 | KEEP THE EXISTING INVESTMENT

- Compliance platform and evidence repository remain useful.
- Existing auditor/advisor relationship remains in place.
- No rip and replace is required to begin closure.

DECISION 2 | CLOSE THE THREE BLOCKING CONDITIONS

- Complete source-to-evidence linkage for privileged access and emergency changes.
- Create defensible incident-response exercise evidence for the current period.
- Fit ownership, due dates, required evidence, and approval into the remediation record.

DECISION 3 | THEN DEFINE THE NEXT PROOF STEP

Operating standard	Approved condition is specific enough to test against actual execution.
Evidence model	Required event/record fields are defined and available for a bounded historical population.
Execution Evidence Baseline	Pulse can then test what actually happened, classify the result, and preserve the evidence trail.

WHAT THE BUYER RECEIVES

Complete findings report Every supported finding from accepted intake, with rationale and conclusion boundaries.	**Applicable-framework view** One consolidated view of supported obligations that reach the organization.
Prioritized remediation plan Controls, documents, ownership, evidence, and operating conditions required to close exposure.	**Executive-ready decision package** A concise recommendation into Closure or the next evidence stage, with the $2,500 fee credited forward.

SAMPLE BOUNDARIES

This is an illustrative sample, not a client report. FastTrack is a directional readiness and findings engagement. It does not constitute an audit, certification, attestation, legal opinion, or an execution-evidence test. Actual scope and findings depend on accepted client intake and the applicable frameworks supported by the assessment.

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